PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/822,850 Filing Date **TRANSMITTAL** April 9, 2004 First Named Inventor **FORM** Mike Dunkley et al. Art Unit 3743 **Examiner Name** Unassigned (to be used for all correspondence after initial filing) Attorney Docket Number

0198.00

Total Number of Page	es in This Submission	Attorney bocket Number	0198.00			
		ENCLOSURES (Check a	li that apply)			
Amendment/R After F Affidav Extension of T Express Aband	tached leply inal rits/declaration(s)	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocati Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C	Address 23	Appea of Appea (Appea (Appea) Propri	,	
under (ng Parts/ plication to Missing Parts 37 CFR 1.52 or 1.53	Remarks TURE OF APPLICANT, ATTO	DRNEY, OR	AGENT		
Firm Name	NEW AR THERAPEUTICS					
Printed name	May Vall					
Date 07 DEC 1004			Reg. No. 45	45,302		
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature	Minua	Cotte				
Typed or printed name	Minna Cotter	r		Date	NT DEC OU	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>In re</u> the application of: Mike Dunkley et al.) Atty. Docket No.: 0198.00
) Examiner: Unassigned
Application No.: 10/822,850) Group Art Unit: 3743
Filed: 04/09/2004)
Title: AEROSOLIZATION APPARATUS WITH AIR INLET SHIELD)))
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450 on O7 DECO4

Signed: Minno Cottal

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56, §1.97 and §1.98</u>

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

The references listed in the attached Form PTO/SB/08A may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56, 1.97, and 1.98. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Copies of the references are enclosed, as required under 37 CFR §§1.98.

This Information Disclosure Statement is being timely filed under 37 CFR §§1.97 and is being filed:



within three months of the filing date of a national application or an RCE; within three months of the date of entry of the national stage as set forth in section 1.491 in an international application; or before the mailing date of a first Office action on the merits (whichever event occurs last)

Please charge the amount of \$___ to Deposit Account 500348

If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500348.

Respectfully submitted,

NEKTAR THERAPEUTICS

Dated: 07 DECROY

By: Guy V. Tuck

Reg. No. 45,302

San Carlos, CA 94070 (650) 631-3100

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orm PTO/SB/08A (Modified)

Information Disclosure Statement By Applicant

(Use Seve	ral Sheets	if Necessary,
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Atty Docket No.:	Application No.:
0198.00	10/822,850
Applicant:	
Mike D	unkley et al.
Filing Date:	Group:
04/09/2004	3743

U.S. Patent Documents

Examiner				1	Sub-	Filing
Initial	Patent No.	Date	Patentee	Class	Class	Date
	3,012,694	12/12/1961	Johnston			
	3,991,761	11/16/1976	Cocozza			
	4,114,615	09/19/1978	Wetterlin			
	4,247,066	01/27/1981	Frost et al.			
	4,338,931	07/13/1982	Cavazza			
	4,846,876	07/11/1989	Draber et al.			
	4,995,385	02/26/1991	Valentini et al.			
	5,458,135	10/17/1995	Patton et al.			
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Examiner:	Date Considered:

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Form PTO/SB/08A (Modified)	Atty Docket No.:	Application No.:		
	0198.00	10/822,850		
Information Disclosure	Applicant:			
Statement By Applicant	Mike Dunkley et al.			
	Filing Date:	Group:		
(Use Several Sheets if Necessary)	04/09/2004	3743		

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	A	0 839 544	05/06/1998	EP				
	В	1 068 874	01/17/2001	EP	-			
	С	95/24183	09/14/1995	WO				
	D	96/32096	10/17/1996	wo				
	Е	96/32149	10/17/1996	WO				
	F	99/16419	04/08/1999	wo				
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	Н	00/07572	02/17/2000	WO				
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Examiner:	Date Considered:

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